

GP 2681

Docket No.
14990**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))**In Re Application Of: Masaya HIRATAH&
S-T
09-15-03Serial No.
09/974,956

SEP 9 2 2003

Filing Date
October 11, 2001Examiner
UnassignedGroup Art Unit
2681Title: MOBILE STATION AND METHOD FOR ALLOCATING FINGER THEREOF IN
CDMA COMMUNICATION SYSTEM.**RECEIVED**

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Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

 the statement specified in 37 CFR 1.97(e);**OR** the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
 (Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
 14990

In Re Application: **Masaru HIRATA**

SEP 02 2003
 C32

Serial No.
 09/974,956

Filing Date
 October 11, 2001

Examiner
 Unassigned

Group Art Unit
 2681

**MOBILE STATION AND METHOD FOR ALLOCATING FINGER THEREOF IN
 CDMA COMMUNICATION SYSTEM.**

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Paul J. Esatto, Jr.
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Paul J. Esatto, Jr.
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Paul J. Esatto, Jr.
 Signature

Paul J. Esatto, Jr.

Registration No.: 30,749

SCULLY, SCOTT, MURPHY & PRESSER

400 Garden City Plaza Garden City, New

Garden City, New York 11530

(516) 742-4343

PJE:ahs:jt

CC:

Dated: August 27, 2003



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: **Masaru HIRATA** **Examiner:** **Unassigned**

Serial No.: **09/974,956** **Group Art Unit:** **2681**

Filed: **October 11, 2001** **Docket:** **14990**

For: **MOBILE STATION AND
METHOD FOR ALLOCATING
FINGER THEREOF IN CDMA
COMMUNICATION SYSTEM** **Dated:** **August 27, 2003**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Laid-Open Patent Application 2000-78106, dated March 14, 2000;
2. Japanese Laid-Open Patent Application 2000-40981, dated February 8, 2000;

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 27, 2003.

Dated: August 27, 2003

A handwritten signature in black ink, appearing to read "Paul J. Esatto, Jr." It is written in a cursive style with a vertical line through the "J".

3. Japanese Laid-Open Patent Application 2002-164817, dated June 7, 2002;
4. Japanese Laid-Open Patent Application 2002-141831, dated May 17, 2002;
5. Japanese Laid-Open Patent Application 2002-111548, dated April 12, 2002;
6. Japanese Laid-Open Patent Application 11-27180, dated January 29, 1999;
7. International Publication No. WO 99/57819, dated November 11, 1999;
8. International Publication No. WO 99/16183, dated April 1, 1999;
9. Published Japanese Translation of PCT WO 00/65738, dated November 2, 2000;
10. Japanese Laid-Open Patent Application 11-298401, dated October 29, 1999;
11. Japanese Laid-Open Patent Application 6-148246, dated May 27, 1994;
12. Japanese Laid-Open Patent Application 9-233049, dated September 5, 1997; and
13. Japanese Laid-Open Patent Application 11-261524, dated September 24, 1999.

The references were cited in a Japanese Office Action dated June 4, 2003 received from the Japanese Patent Office. Applicant is submitting copies of the above-cited references, together with a translation of the Examiner's comments regarding the references from the Official Action. Please note that Reference No. 1 cited in the Office Action, namely, Japanese Laid-Open Patent Application 9-181704 dated July 11, 1997 was submitted in applicant's previously submitted Information Disclosure Statement dated June 18, 2003. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references

were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

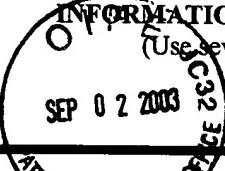
Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,


Paul J. Esatto, Jr.
Registration No. 30,749

Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

PJE:ahs:jmt



INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional)
14990

Application Number
09/974,956

Applicant(s)
Masaru HIRATA

Filing Date
October 11, 2001

Group Art Unit
2681

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
		2000-78106	3/14/00	Japan			
		2000-40981	2/8/00	Japan			RECEIVED
		2002-164817	6/7/02	Japan			SEP 04 2003
		2002-141831	5/17/02	Japan			Technology Center 2600
		2002-111548	4/12/02	Japan			
		11-27180	1/29/99	Japan			
		WO 99/57819	11/11/99	PCT			
		WO 99/16183	4/1/99	PCT			
		WO 00/65738	11/2/00	PCT			
		11-298401	10/29/99	Japan			
		6-148246	5/27/94	Japan			
		9-233049	9/5/97	Japan			
		11-261524	9/24/99	Japan			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.